



PROCEDURE FOR ISSUING A PRELIMINARY OPINION

(at the request of the Company)

PROCESS

- ▶ Agreeing with the Exchange upon the AML/CFT Questionnaire and internal control documents
- ▶ Submitting an application for a preliminary opinion and documents for consideration
- ▶ Payment of listing fees
- ▶ Coordination with the Exchange of an application for a preliminary conclusion and documents
- ▶ Issuance of a preliminary report

PROCESS DESCRIPTION

The AML/CFT questionnaire of the admission initiator and documents confirming the accuracy of the information specified in the AML/CFT Questionnaire, as well as internal control documents certifying compliance with the regime of international economic sanctions, must be submitted to the Exchange *before submitting an application for inclusion of securities in the Exchange's official list of the*

The admission initiator submits to the Exchange an application for a preliminary conclusion (in any form), as well as the documents specified *in Table 2 of Appendix 2 to the Listing Rules*

The Exchange presents the admission initiator with an invoice for payment of the preliminary fee *no later than the business day* following the date the Exchange receives the application for a preliminary conclusion and documents for consideration.

In case of failure to pay the preliminary fee within the established period, the Exchange sends the admission initiator a refusal to issue a preliminary conclusion.

The period for verification by the Exchange of compliance of securities and/or their issuer with listing criteria and/or requirements and requirements for documents established by the Listing Rules, as well as approval by the Exchange of a draft issue prospectus of corporate bonds with the admission initiator is *no more than 10 business days* following the day of provision by the initiator admission of an application for a preliminary opinion and documents for consideration.

The Exchange issues a preliminary opinion *no later than 2 business days* following the day of approval of the application for a preliminary opinion and documents with the admission initiator