



COMPLETE LISTING PROCEDURE

to include stocks, bonds, ESG bonds, Islamic securities, investment fund securities and depositary receipts to the Exchange's official list

PROCESS

- ▶ Agreeing with the Exchange upon the AML/CFT Questionnaire and internal control documents
- ▶ Submitting an application for inclusion and documents for consideration
- ▶ Acceptance of application for consideration / motivated refusal

PROCESS DESCRIPTION

The AML/CFT questionnaire of the admission initiator and documents confirming the accuracy of the information specified in the AML/CFT Questionnaire, as well as internal control documents certifying compliance with the regime of international economic sanctions, must be submitted to the Exchange *before submitting an application for inclusion of securities in the Exchange's official list*

The admission initiator submits to the Exchange an application for inclusion of securities in the Exchange's official list in the form established by Appendix 4 to the Listing Rules, as well as the documents specified *in Table 2 of Appendix 2 to the Listing Rules*

The period for verification by the Exchange of compliance of securities and/or their issuer with the listing criteria and/or requirements and requirements for documents is:

– for the "Shares" sector of the "Main" and "Alternative" markets, for the "Islamic securities" and "Securities of investment funds" sectors of the "Mixed" market – *no more than 10 business days following the day of receipt of the application and documents;*

– for the "Bonds" category of the "Main" and "Alternative" markets – *no more than 10 business days following the day of receipt of the application and documents.*

In case of obvious non-compliance of securities and/or their issuer with the listing criteria and/or requirements and/or in case of non-compliance with the requirements for documents established by the Listing Rules, the Exchange sends a motivated refusal to the admission initiator.

In the absence of grounds for preparing a motivated refusal, the Exchange accepts the specified application for consideration

PROCESS

- ▶ Coming into effect of the decision of the Listing Commission
- ▶ Disclosure of information on the issuer and its securities when including securities

PROCESS DESCRIPTION

The decision of the Listing Commission to include securities in the Exchange's official list comes into effect *on the business day following the day the admission initiator pays the entrance fee and the annual fee*, unless otherwise provided when it was made

The Exchange, in the manner and within the time limits established by the internal documents of the Exchange, discloses information and documents submitted by the admission initiator as part of the inclusion of securities in the Exchange's official list