#### Approved

by a decision of the Management Board of KASE Clearing Centre
JSC

(minutes No. XX of the meeting
from the XX month of 2024)

**NOTIFICATION**

**about bank account details in tenge/
multicurrency account details**

|  |  |
| --- | --- |
| (name of clearing participant/registering exchange) | Sheet1 |
| ■ In addition to the previously submitted2 |
| Date and time of provision | ■ In place of the previously submitted2 |
| The date from which operations are carried out on the specified bank account​​ | ■ Submitted for the first time2 |
| Type of bank account of a clearing participant | ■ own bank account to keep track of own money2 |
| ■ own bank account for accounting of client money2 |
| ■ custodial bank account2 |

|  |  |
| --- | --- |
| Beneficiary name3 |  |
| Bank account number (IIC4) |  |
| BIN5 |  |
| Beneficiary bank name6 |  |
| BIC7 of the beneficiary's bank |  |
| Kbe8 |  |
| 1  In the format "Sheet X of n".2  Mark the desired option with the 🗴or sign🗸.3  In this Appendix, the beneficiary means the clearing participant / registering exchange.4  Individual identification code, which is the bank account number. | 5  Business identification number of the clearing participant/registering exchange (if any).6  Name of the servicing bank or an organization that carries out certain types of banking operations (hereinafter referred to as a bank), in which the bank account of the clearing participant/registering exchange is opened.7  Bank identification code of the servicing bank in which the bank account of the clearing participant/ registering exchange is opened.8 Beneficiary code. |

[Position of the chief executive] [signature] [Last name, initials]

[Chief accountant's position] [signature] [Last name, initials]

|  |
| --- |
| Signatures verified(personal seal of the employee who conducted the verification of KASE Clearing Centre JSC, verification date) |

#### Approved

by the decision of the Management Board of KASE Clearing Centre JSC

(minutes No. XX of the meeting
on XX month of 2024)

**NOTIFICATION**

**about bank account details in foreign currencies**

|  |  |
| --- | --- |
| (name of clearing participant3) | Sheet1 |
| (currency code2) |
| ■ In addition to the previously submitted4 |
| Date and time of provision | ■ In place of the previously submitted4 |
| The date from which transactions are carried out on the specified bank account | ■ submitted for the first time4 |
| Type of bank account of the clearing participant | ■ own bank account to keep track of own money4 |
| ■ own bank account for accounting of client money4 |
| ■ custodial bank account4 |
| Name and address of the beneficiary's bank5 |  |
| Bank account number |  |
| SWIFT BIC of the clearing participant |  |
| SWIFT BIC and other identifiers of the beneficiary's bank |  |
| Name, address and SWIFT BIC of the intermediary bank6  |  |
| BIC and TIN of the beneficiary's bank7 |  |
| Correspondent account7 of the beneficiary bank in the Central Bank of the Russian Federation8 |  |
| 1  In the format "Sheet X of n ". A separate list of details is provided for each type of currency.2  Currency codes – USD, EUR, RUB, CNY.3  Name in Russian and English. When filling out details in Russian rubles, it is also necessary to indicate the name in SWIFT transliteration – RUR6.4  Mark the desired option with the 🗴or sign 🗸. | 5  When filling in the details in Russian rubles, it is necessary to indicate the name in Russian and in SWIFT transliteration – RUR6.6  To be completed if there is an intermediary bank.7  To be filled in when registering details in Russian rubles.8 Central Bank of the Russian Federation. |

[Position of the chief executive] [signature] [Last name, initials]

[Chief accountant's position] [signature] [Last name, initials]

|  |  |
| --- | --- |
|  | Signatures verified(personal seal of the employee of KASE Clearing Centre JSC, who conducted the verification, verification date) |

#### Approved

by the decision of the Management Board of KASE Clearing Centre JSC

(minutes No. XX of the meeting
from the XX month of 2024)

**NOTIFICATION**

**about bank account\***

|  |  |
| --- | --- |
|  | KASE Clearing Centre JSC  |
| (name of the clearing participant) | Notification issue date  |
| Notification issue time |
| Notification effective date |

The above-named clearing participant hereby notifies that the Kazakh tenge or foreign currency based on the results of the trading day and clearing shall be transferred by default to the following bank accounts in the following servicing banks:

|  |  |  |
| --- | --- | --- |
| **Currency** | **Servicing bank1 /bank account details2** | **Sum transferred currency**3 |
| (currency code4 ) |  |  |

1  You must specify the name of the servicing bank.

2 Details of the bank account in tenge or foreign currency provided to KASE Clearing Centre JSC by the clearing participant.

3  In case of transferring Kazakh tenge or foreign currency to only one of the bank accounts, filling in the “Amount” column is not required.

4  Currency code designation.

**\*** This notification is provided if a clearing participant uses multiple bank accounts to receive funds from KASE Clearing Centre JSC.

The bank account specified in this notification is used by KASE Clearing Centre JSC to transfer funds to the clearing participant, unless otherwise specified in the Separate Notification of Transfer of Funds of the Clearing Participant.

This notification is valid until the clearing participant provides a new notification, except for the Separate Bank Account Notification.

|  |  |
| --- | --- |
| From the clearing participant(signature, surname, initials of the authorized employee) | The signature of the authorized employee has been verified.(personal seal of the employee who conducted the verification of KASE Clearing Centre JSC, verification date) |

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**SEPARATE NOTIFICATION**

**about bank account\***

|  |  |
| --- | --- |
|  | KASE Clearing Centre JSC |
| (name of the clearing participant) | Notification issue date of  |
| Notification issue time |
| Notification effective date |

The above-named clearing participant hereby notifies that the Kazakh tenge
or foreign currency based on the results of the trading day and clearing must be transferred to the following bank accounts in the following servicing banks:

|  |  |  |
| --- | --- | --- |
| **Currency** | **Servicing bank1 /bank account details2** | **Sum transferred currency**3 |
| (currency code4 ) |  |  |

1  You must specify the name of the servicing bank.

2 Details of the bank account in tenge or foreign currency provided to the Clearing Centre by the clearing participant.

3  In case of transferring Kazakh tenge or foreign currency to only one of the bank accounts, filling in the “Amount” column is not required.

4  Currency code designation.

**\*** This notification is provided in the event that a clearing participant uses multiple bank accounts to receive funds from KASE Clearing Centre JSC.

 The account specified in this notification is used by KASE Clearing Centre JSC to transfer funds to the clearing participant on the date specified in this notification.

|  |  |
| --- | --- |
| From the clearing participant(signature, surname, initials of the authorized employee) | The signature of the authorized employee has been verified.(personal seal of the employee who conducted the verification of KASE Clearing Centre JSC, verification date) |

#### Approved

by the decision of the Management Board of KASE Clearing Centre JSC

(minutes No. XX of the meeting
from the XX month of 2024)

**APPLICATION**

**for keeping funds in the correspondent account of KASE Clearing Centre JSC**

|  |  |
| --- | --- |
|  | KASE Clearing Centre JSC |
| (name of the clearing participant) | Date of application submission |
| Time of application submission |
| Application effective date  |
| Type of clearing participant's account | ■ own posting account2 |
| ■ aggregated client posting account2 |
| ■ aggregated custodial account2 |
| Validity of the application | ■ one-time2 |
| ■ permanent2 |

The above-named clearing participant hereby declares its intention to leave the money in the correspondent account of KASE Clearing Centre JSC:

|  |  |
| --- | --- |
| **Currency** | **Amount of currency (in figures and words)**3 |
| (currency code1) |  |
|  |
|  |

1 Three-letter code designation of currency, for example: KZT, USD.

2 Mark the desired option with the 🗴or sign🗸.

3 If you intend to leave all the money available for withdrawal located on the correspondent account of KASE Clearing Centre JSC, filling in the column "Currency amount (in figures and words)" is not required.

This application is valid until the clearing participant submits a new application.

|  |  |
| --- | --- |
| From the clearing participant(signature, surname, initials of the authorized employee) | The signature of the authorized employee has been verified.■ Accepted■ Not acceptedReason for refusal:(personal seal of the employee who conducted the verification of KASE Clearing Centre JSC, verification date) |

#### Approved

by the decision of the Management Board of KASE Clearing Centre JSC

(minutes No. XX of the meeting
from the XX month of 2024)

**APPLICATION
for transfer of collateral in favor of a clearing participant**

**Specification for the formation of an xml file**

Encoding – **utf-8.**

The file name consists of three sections. Example of a file name:

**XML20KASPI5.xml**

Description of sections:

|  |  |
| --- | --- |
| Format name | Always XML20 |
| Clearing participant code | For example, KASPI |
| Message sequence number | Always equal to the value in the "NUMBER" field inside the message |

The file requires a mandatory unchangeable header:

**<?xml version ="1.0" encoding ="utf-8"?>**

All file tags are contained in the root tag **<MESSAGE>.** All tags are required, even if the value is empty. Upper case is used.

Description of tags inside the file:

|  |  |
| --- | --- |
| <NUMBER> | Required. Unique message sequence number. |
| <DATETIME> | Required. Date and time of message generation, in the format dd.mm.yyyy hh 24:mi:ss. For example: 18.01.2024 14:00:55 |
| <FIRMCODE> | Required. Trading participant code |
| <BIN> | BIN, if available |
| <CURRENCY> | Required. Currency code in international format. KZT or USD |
| <AMOUNT> | Amount to withdraw. An empty value will be taken as the entire available amount. Example: 80000000.00 |
| <ACCOUNTTYPE> | Required. Code for selecting the account from which the money will be transferred. |
| <IBAN> | Bank account number. If not specified, the details of the previously provided bank account will be used. |
| <TRADER> | Mandatory. Full surname, first name, patronymic (if any) of the authorized employee. Example: Ivanov Mikhail Mikhailovich. |

Example of the file in full:

<?xml version ="1.0" encoding ="utf-8"?>

<MESSAGE>

 <NUMBER>5</NUMBER>

 <DATETIME>18.01.2024 14:00:55</DATETIME>

 <FIRMCODE>KASPI</FIRMCODE>

 <BIN>020140007102</BIN>

 <CURRENCY>KZT</CURRENCY>

 <AMOUNT>80000000.00</AMOUNT>

 <ACCOUNTTYPE>OWNI</ACCOUNTTYPE>

 <IBAN>KZ89826A1KZTD2004583</IBAN>

 <TRADER>Ivanov Mikhail Mikhailovich</TRADER >

</MESSAGE>

#### Approved

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(minutes No. XX of the meeting
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**SCHEDULE
of settlements on the stock market**

**This appendix indicates the time of Astana city on the day of settlement.**

**Table 1. Payments related to transfers of collateral based on applications from clearing participants**

|  |  |  |
| --- | --- | --- |
| **Currency** | **Time until which applications are submitted to the Clearing Centre** | **Time until which the Clearing Centre transfers funds\*** |
| A | 1 | 2 |
| **TENGE** | 18.00 | 19.30 |
| **FOREIGN CURRENCIES** | 18.00 | 19.30 |

**Table 2. Payments based on the results of the trading day and clearing**

|  |  |
| --- | --- |
| **Currency** | **Time until which the Clearing Centre transfers funds\*\*** |
|
| A | 1 |
| **TENGE** | 19.30 |
| **FOREIGN CURRENCIES** | 19.30 |

**Table 3. Payments for transactions of remote access clearing participants**

|  |  |
| --- | --- |
| **Currency** | **Time until which the Clearing Centre transfers funds\*\*\*** |
|
| A | 1 |
| **TENGE** | 09.45​​  |

**Table 4. Acceptance of applications for the preservation of money in the Clearing Centre correspondent account**

|  |  |
| --- | --- |
| **Currency** | **Time until which applications are accepted by the Clearing Centre** |
|
| A | 1 |
| **TENGE** | 18.00 |
| **FOREIGN CURRENCIES** | 18.00 |

\* The time before which the Clearing Centre submits instructions to the Central Depository to transfer money to clearing participants in accordance with their applications.

\*\* The time before which the Clearing Centre submits to the Central Depository instructions to transfer funds
in favor of clearing participants and the registering exchange in payment of their final claims to the Clearing Centre.

\*\* The time before which the Clearing Centre submits instructions to the Central Depository to transfer money
for transactions of remote access clearing participants based on the results of the trading day and clearing on the receiving exchange.