M E M O

on connecting to the NTPro trading mode

At the initial stage, the service will be provided to members of the Exchange.

1. A clearing participant that is a member of the Exchange must comply with the requirements set out in Appendix 1 to the Regulations on Clearing Participants approved by decision of the Board of Directors of KASE Clearing Center JSC (minutes of the meeting dated June 5, 2023, No. 7) (hereinafter, the Regulations).
2. A clearing participant that is a member of the Exchange must submit to the Clearing Center an Application for assignment of the status of a clearing participant of the trading environment market in accordance with the form in Appendix 3 to the Regulations, as well as an Application for assignment/change of a clearing participant category in accordance with form in Appendix 6 to the Regulations, and also update the documents (if necessary) which list is established in Appendix 2 to the Regulations.
3. The application for assignment of the status of a clearing participant of the trading environment market shall be considered within 10 working days after submission of the complete (required) package of documents.
4. After receiving the status of a clearing participant of the trading environment market, a member of the Exchange must submit an application according to form in Appendix 9 to the Rules for Providing Access to the Exchange’s Trading Systems approved by decision of the Board of Directors of Kazakhstan Stock Exchange JSC (minutes of the meeting dated March 17, 2020, No. 8) to obtain an account and password for access to the NTPro trading mode.
5. The login and initial password are provided within three working days after the Exchange receives a correct application.
6. After receiving the login, a clearing participant must write an application to the Exchange’s technical support service at support@kase.kz with a request to link the received login to the NTPro IP addresses (IP addresses can be obtained from the NTPro technical support service).