# Rules of conduct of clearing activities under transactions with financial instruments

**Annex 3**

to the Rules of conduct of clearing activities under transactions with financial instruments

A P P L I C A T I O N

**to assign the sign of voluntary provider**

**with respect to the client clearing account on the stock market**

We hereby request KASE Clearing Center JSC (hereinafter referred to as the Clearing Center) to assign to the clearing participant [**full name of the clearing participant according to the certificate (other document) of its state registration (recent state re-registration)]** (hereinafter referred to as the Clearing Participant) the sign of voluntary provider in relation to the client trading and clearing accounts from the list below for conclusion with their use of positions transfer transactions subject to the internal document of the KASE Clearing Center "Rules for clearing activities under transactions with financial instruments" when the KASE Clearing Center performs the functions of the central counterparty on the stock market - repo transactions for a period of 1 day with the Sell / Buy direction at the default settlement rate SD, established subject to the internal documents of the KASE Clearing Center "Methodology for determining risk parameters of financial instruments".

We hereby declare:

* acquaintance with the terms and conditions for conclusion of transfer transactions in order to settle defaults of mala fide clearing participants on the stock market carried out by the Clearing Center subject to the internal documents of the Clearing Center “Rules for clearing activities under transactions with financial instruments”, “Methodology for determining risk parameters of financial instruments” and other internal documents of the Clearing Center related to its clearing activities and performance of the functions of the central counterparty;
* unconditional consent to all the terms and conditions and requirements established by the above- mentioned and other internal documents of the Clearing Center related to clearing activities and performance of the functions of the central counterparty;
* availability of documented instructions from clients received in relation to the client trading and clearing accounts listed below.

# List of client trading and clearing accounts for use for conclusion of positions transfer transactions [fill in the table]

|  |  |
| --- | --- |
| **No.** | **Number of the client trade and clearing account of the clearing participant** |
| 1. |  |
| 2. |  |
| 3. |  |
| ... |  |

[Position of the chief executive] [signature] [surname, initials]

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